

Evaluation of an Intervention to Reduce Low-Value Preoperative Testing for Patients Undergoing Cataract Surgery in a Safety Net Health System



David Geffen
School of Medicine



John N. Mafi, MD, MPH

Assistant Professor of Medicine

Division of General Internal Medicine and Health Services Research

David Geffen School of Medicine at UCLA

Natural Scientist in Health Policy

RAND Corporation

Low-value care from a real patient's view: An example of the iatrogenic cascade



- Jim: 59 yo M w/mild asthma and painful abdominal hernia at pre-op clinic for surgery
- At visit unnecessary pre-op CXR ordered → lung nodule → surgery delayed, CT scan ordered
- CT reveals incidental adrenal mass → surgery further delayed
- Adrenal CT ordered → adrenal CT shows benign mass
- All this time, Jim lived in pain for 6 additional months
 - Anxious about test results
 - Received unnecessary dye load and radiation
 - Scans cost more than double the surgery itself!

Low-value care

- Low-value care = *patient care that provides no average benefit in specific clinical scenarios*
- Can harm patients both physically and financially
 - Cancer-causing radiation exposure from diagnostic imaging
 - Chasing false positives, complications, stress
 - Co-payments and high deductibles for tests and services



By John N. Mafi, Kyle Russell, Beth A. Bortz, Marcos Dachary, William A. Hazel Jr., and A. Mark Fendrick

DATAWATCH

Low-Cost, High-Volume Health Services Contribute The Most To Unnecessary Health Spending

An analysis of data for 2014 about forty-four low-value health services in the Virginia All Payer Claims Database revealed more than \$586 million in unnecessary costs. Among these low-value services, those that were low and very low cost (\$538 or less per service) were delivered far more frequently than services that were high and very high cost (\$539 or more). The combined costs of the former group were nearly twice those of the latter (65 percent versus 35 percent).

DOI: 10.1377/hlthaff.2017.0385
HEALTH AFFAIRS 36,
NO. 10 (2017): 1701-1704
©2017 Project HOPE—
The People-to-People Health
Foundation, Inc.



HEALTH AFFAIRS BLOG

RELATED TOPICS:

[PATIENT HARM](#) | [SURGERY](#) | [COST SHARING](#) | [PAYMENT](#) | [MEDICARE](#) | [PATIENT CARE](#) | [ORGANIZATION OF CARE](#)
| [ELDERLY CARE](#) | [PAYMENT MODELS](#) | [ACCOUNTABLE CARE ORGANIZATIONS](#)

Tackling Low-Value Care: A New “Top Five” for Purchaser Action

Jason D. Buxbaum, John N. Mafi, A. Mark Fendrick

*“Fruit below the ground”
--Mark Fendrick*

NOVEMBER 21, 2017

10.1377/hblog20171117.664355

Reducing pre-operative testing for cataract surgery patients

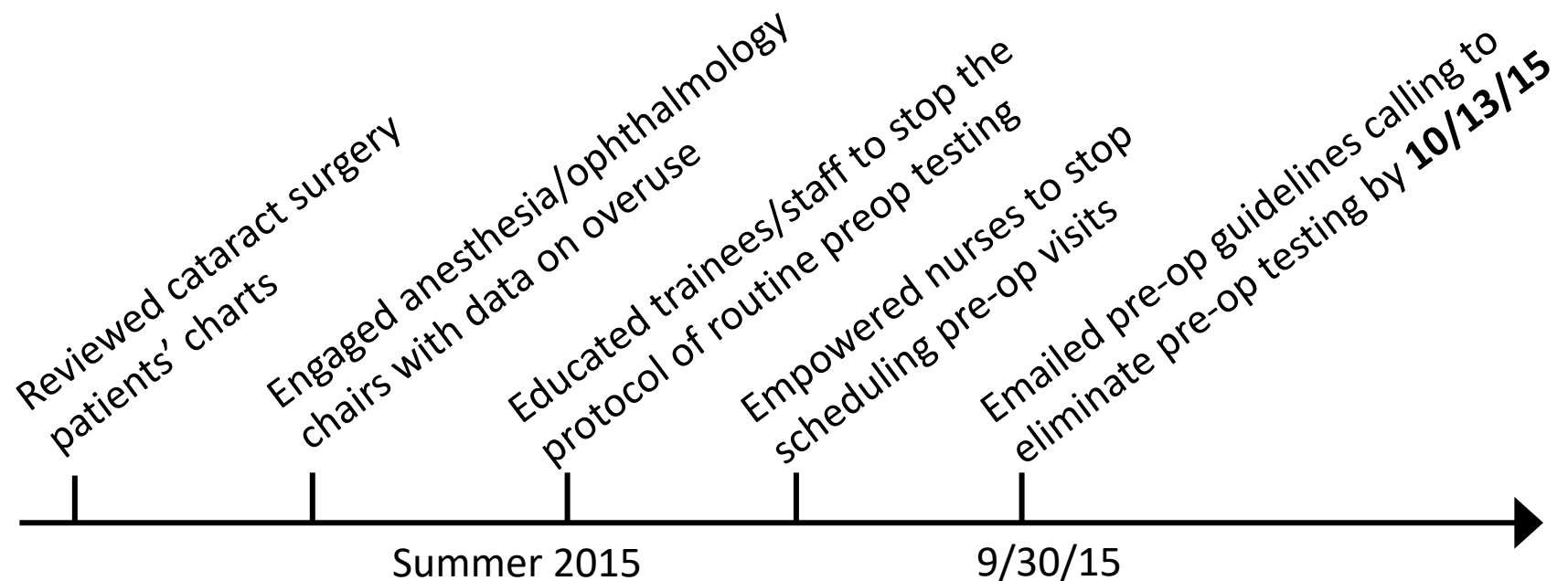
- Routine pre-op testing for cataract surgery epitomizes low value care and occurs at high rates
- Even at DHS, a fiscally capitated system, low-value pre-op care for cataract surgery occurs frequently
- Routine pre-operative testing leads to inefficient utilization in a safety net system with scarce resources and adverse downstream consequences (e.g., Jim)

PURPOSE

We evaluated a multidisciplinary quality improvement (QI) initiative at DHS to reduce low value pre-op care for cataract surgery patients

Methods: QI Intervention and Study Population

- QI Intervention: LAC+USC quality officer → IHI training → reviewed Choosing Wisely® recs → plan-do-study-act (PDSAs)



- Patients undergoing cataract surgery at two urban academic medical centers within a large safety net health system, between 10/15/14-4/15/16, plus 1 year extra through 4/13/17

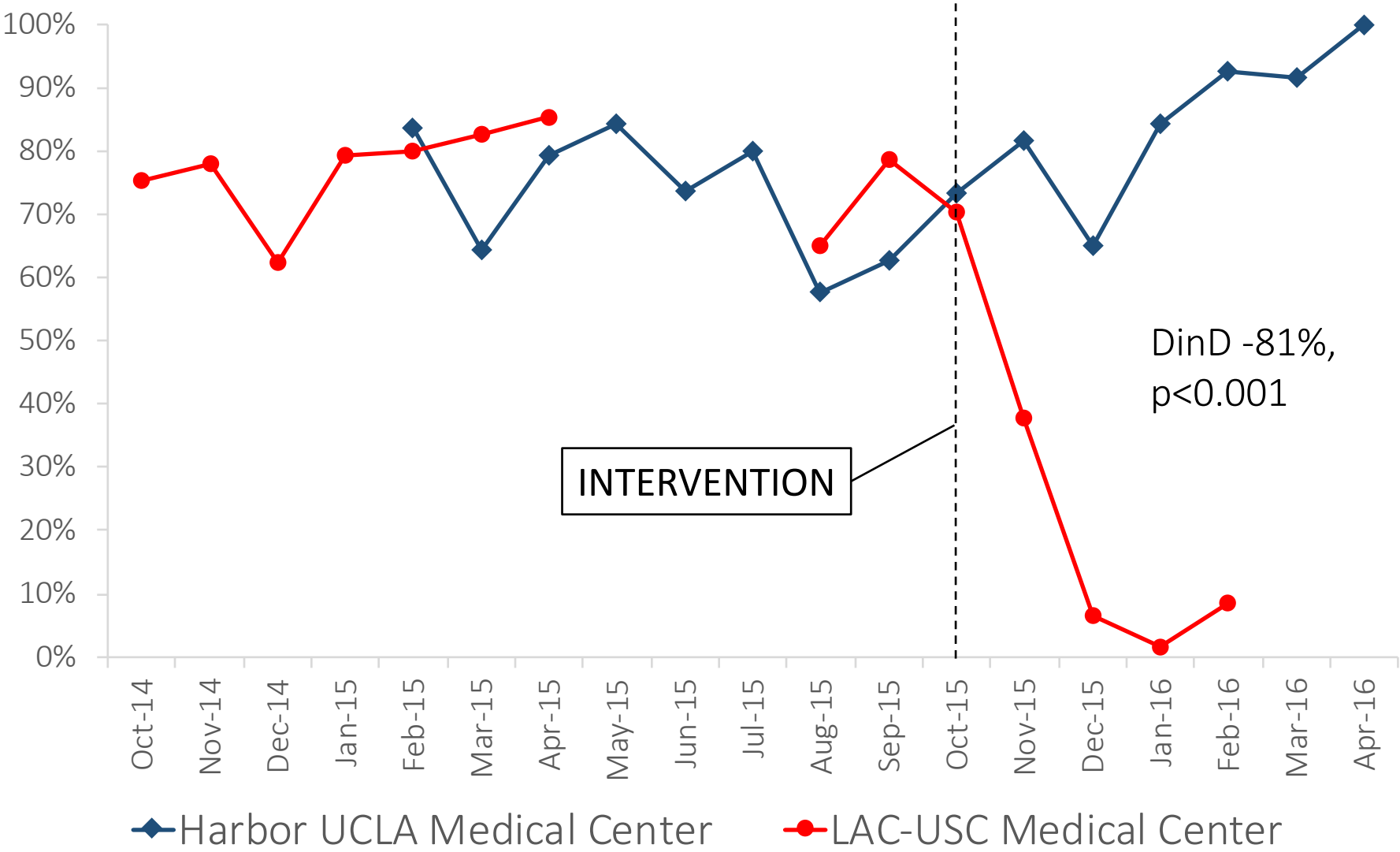
Measures and Statistical Analysis

- Measures included pre-op visits, labs, EKGs, adverse post-operative events, staffing utilization, and total costs
- Pre-post difference-in-differences (DinD) analysis comparing amount of pre-op cataract care at LAC+USC (intervention site) vs. Harbor-UCLA (control)
- Cost analysis from perspective of DHS health system (capitated), fee-for-service health system, and society
- Logistic regression models adjusted for age, sex, ethnicity, Charlson comorbidity score, time, and site

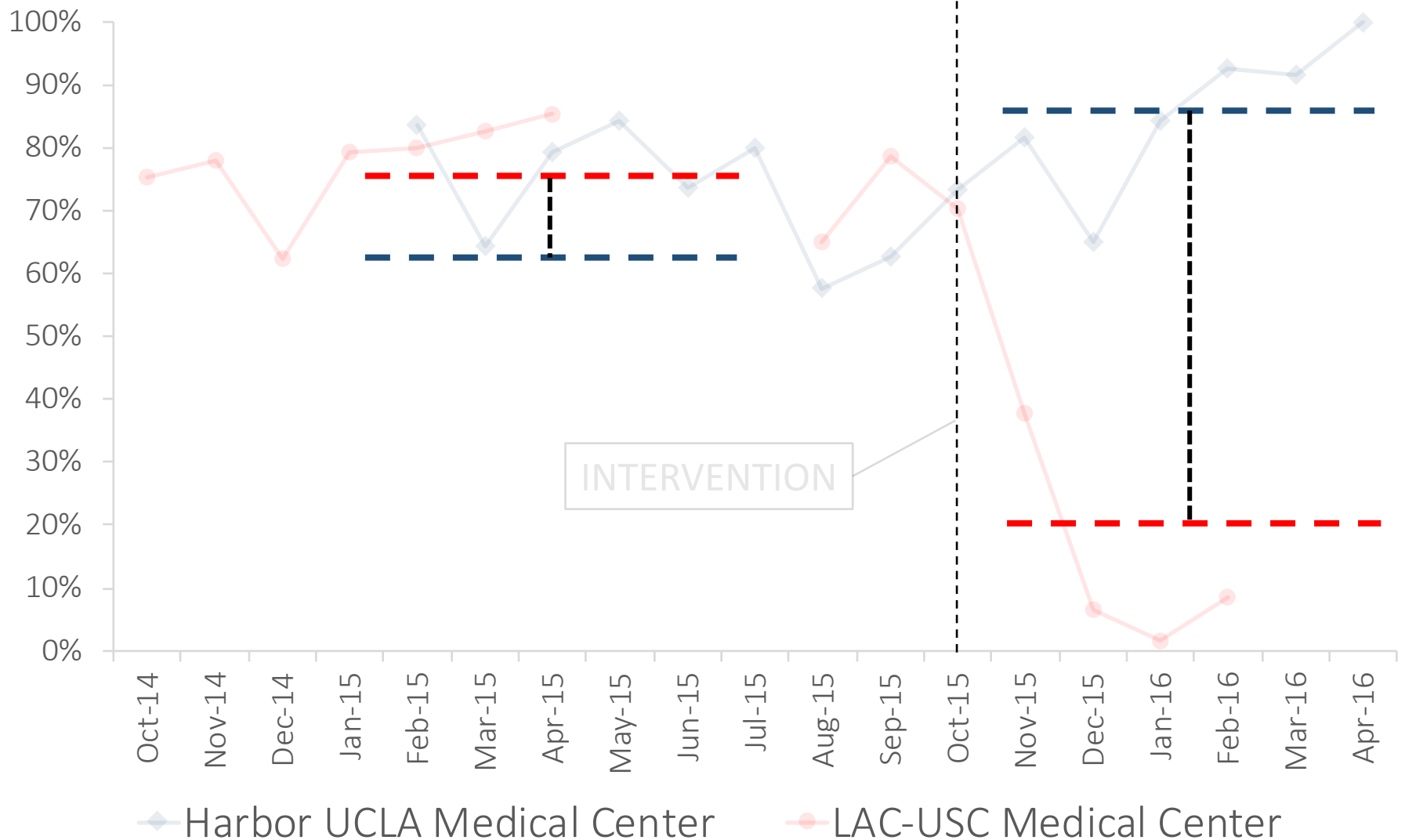
Results

- We identified 1,009 intervention and 959 control patients undergoing cataract surgery during the study period
- Mean age ~61 years old, ~53% female at both sites

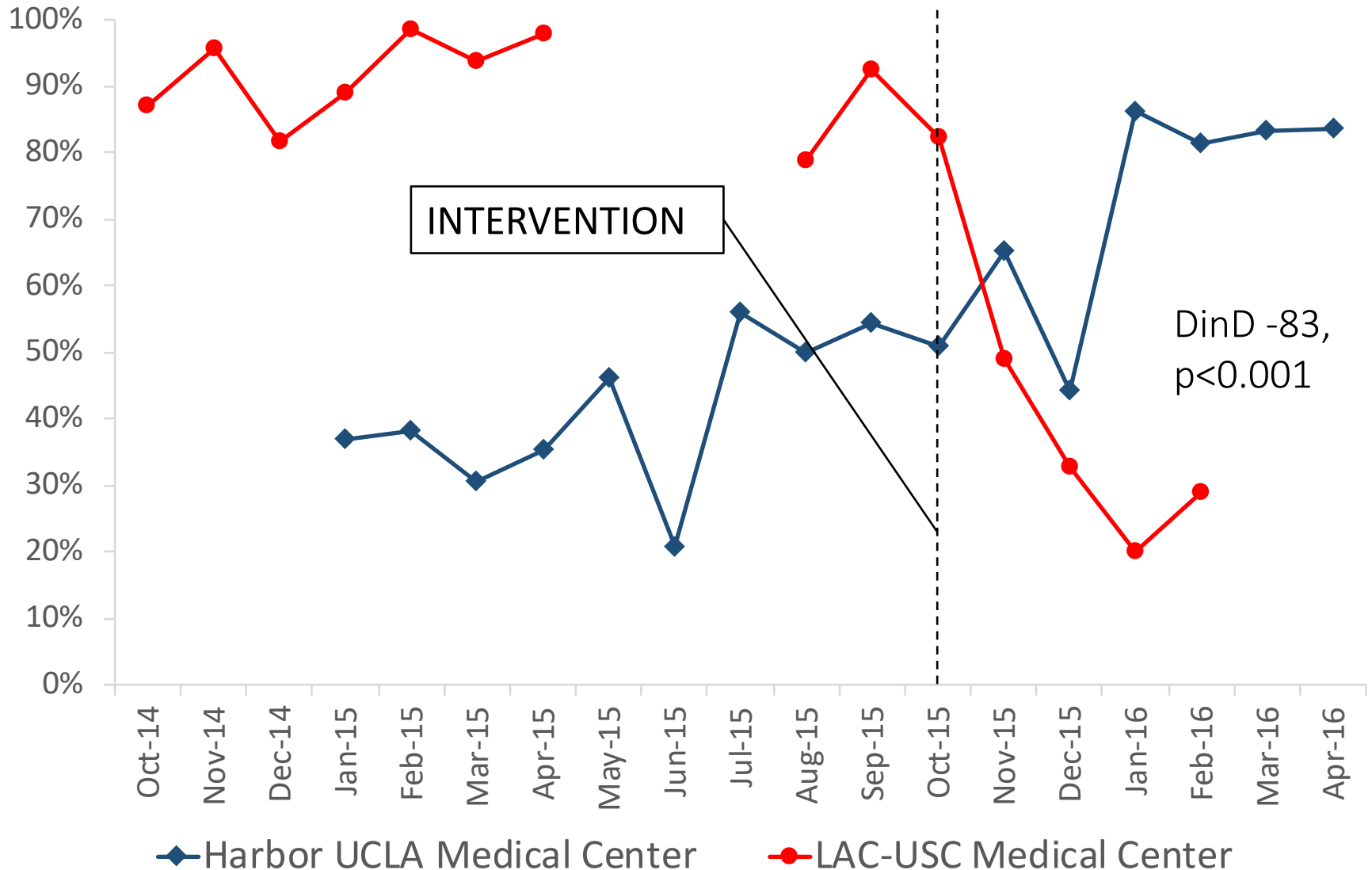
Percent of Cataract Patients with Pre-Operative Medical Visits at LAC+USC (Intervention, n=1009) vs. Harbor-UCLA (Control, n=959) (%)



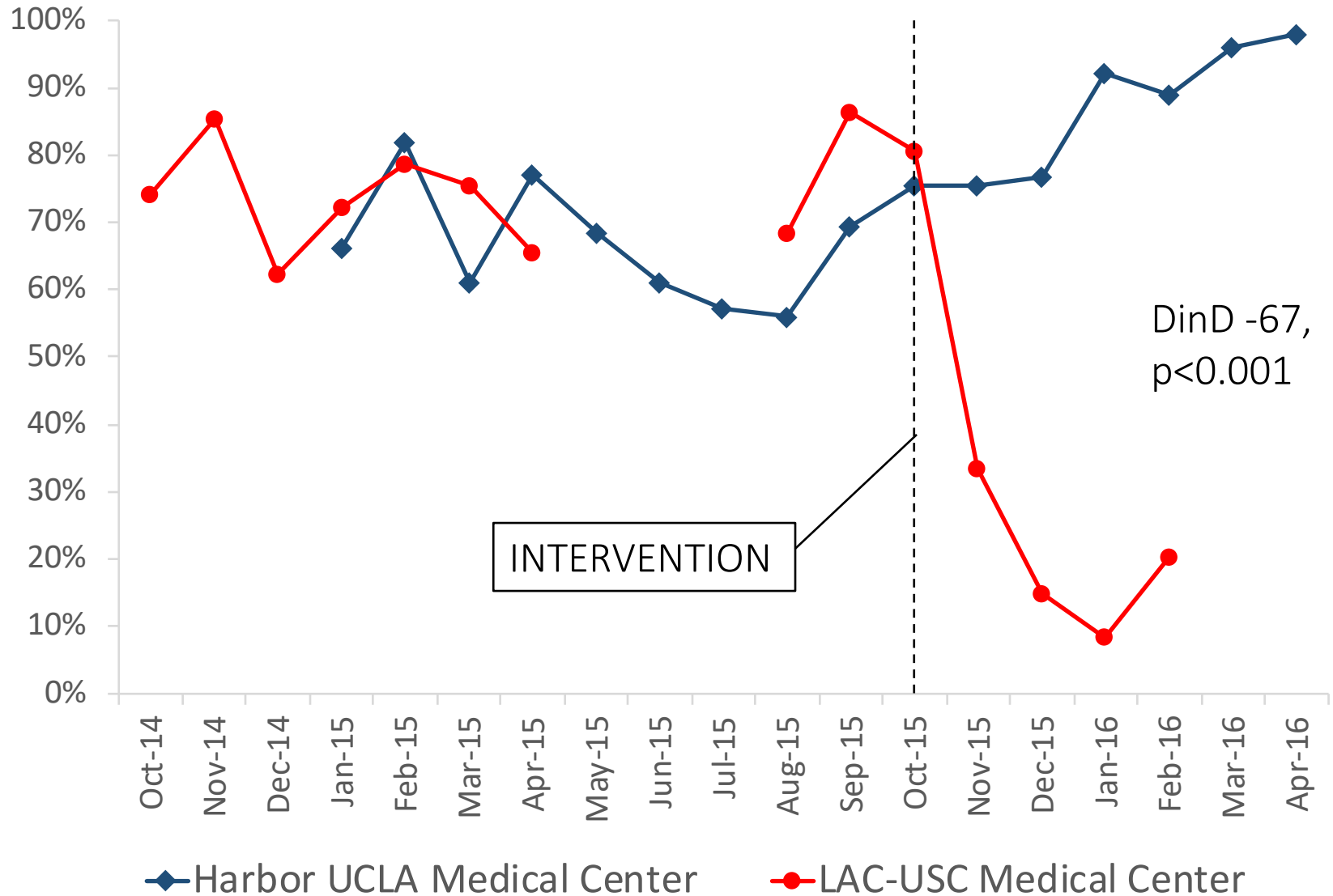
Percent of Cataract Patients with Pre-Operative Medical Visits at LAC+USC (Intervention, n=1009) vs. Harbor-UCLA (Control, n=959) (%)



Percent of Cataract Patients with Pre-Operative Labs Ordered at LAC+USC (Intervention, n=1009) vs. Harbor-UCLA (Control, n=959) (%)



Percent of Cataract Patients with Pre-Operative EKGs Ordered at LAC+USC (Intervention, n=1009) vs. Harbor-UCLA (Control, n=959) (%)



Cost Analysis of Investments and Estimated Savings from Three Different Fiscal Perspectives (n=1,009)

Costs (Price x Δ Utilization)	DHS Costs (Capitated)	Fee-For-Service System Costs	Societal Costs
Investments			
Institute for Improvement Quality Advisor course for QI nurse	-\$62,813	-\$41,760	-\$41,760
20% FTE of RN quality officer	-\$38,308	-\$25,560	-\$25,560
Pricing	Medicaid rates	Medicare rates (profit)	Medicare rates
DinD of medical visits, labs, EKGs	+\$58,880	-\$9,073	+\$60,490
Lost work due to medical care	NA	NA	+\$37,268
Net Costs in Year 1	-\$42,241	-\$71,281	+\$35,550
ESTIMATED COSTS After 3 Years	+\$67,281	-\$88,151	+\$217,322

Results

- One year follow up showed a sustained impact except for an uptick in medical visits
- 30-day post-operative adverse medical events were extremely rare at both sites
- An ophthalmology licensed vocational nurse (LVN) reduced her workload by 100% (70% upon 1 year follow up) and pursued other clinical work
- No other substantive workload changes for other faculty, trainees, or staff

Limitations

- Single health system
- Non-randomized study
- Multicomponent initiative, difficult to tease out key factor

Conclusions

- At a large safety net health system, this QI initiative was associated with
 - Substantially reduced low value pre-operative care for cataract surgery patients
 - Modest cost savings for the health system
 - Freeing an LVN to pursue other clinical work

Implications

- Fortunately, no uptick in post-operative adverse events
- QI team reinstated visits because patients were missing phone calls and arriving late/unprepared; preoperative visits provided critical patient education
- Safety net patients particularly vulnerable to unintended consequences, i.e. failure of phone communication
- Bottom line: all QI initiatives require continuous monitoring for unintended consequences

Implications

- Reducing low-value care would be associated with cost-savings for financially capitated health systems and society
- But it would be associated with *losses* (albeit modest) for fee-for-service health systems, representing a potential barrier to reducing low-value care
- Another potential barrier: LAC-DHS could have doubled cost savings by firing LVN but chose not to do so due to high clinical need

Thank You!

- Co-authors: Patricia Godoy-Travieso, Eric Wei, Malvin Anders, Jesse Berry, Rudy Amaya, Carmen Carrillo, Laura Sarff, Lauren Daskivich, Joseph Ladapo, Sitaram Vangala, Emmett Keeler, Cheryl Damberg, Catherine Sarkisian
- Special thanks to Catherine Sarkisian, Cheryl Damberg, Carol Mangione, Mark Fendrick, and Bob Brook for their mentorship and support
- My Funders: UCLA CTSI KL2 Award KL2TR001882 (PI: Mitchell Wong), ABIM Choosing Wisely®
- Email: jmafi@mednet.ucla.edu
- Twitter: [@jnmafi](https://twitter.com/jnmafi)

